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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Ruey J. YU *et al.*

Serial No. 09/227,213

Filed: January 8, 1999

Allowed: February 1, 2000

For: N-ACETYL ALDOSAMINES AND RELATED N-ACETYL COMPOUNDS, AND  
THEIR TOPICAL USE

Attorney Docket No. 033241/0290

Group Art Unit: 1616

Examiner: M. Williamson

Batch No. H58

#9  
10/12/00  
ClyINFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 AND 37  
C.F.R. §1.97-1.98.Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56. A copy of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

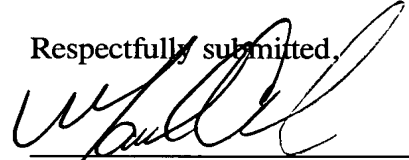
**CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT**

The documents are provided after payment of the issue fee. Applicants respectfully request that the documents be placed in the application file pursuant to MPEP §609B(4) and C(1).

The foregoing documents came to Applicants' attention during a search of a related international application. A copy of the international search report setting forth the portion of each reference considered relevant by the examiner is attached. English language abstracts are provided for documents B7 and B10, for which translations are not readily available, however, the absence of such translations does not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.98 and MPEP §609).

Date: July 7, 2000

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Respectfully submitted,  
  
By: \_\_\_\_\_  
Matthew R. Cohen  
Reg. No. 40,960

Should additional fees be necessary in connection with the filing of this paper, or if a petition for extension of time is required for timely acceptance of same, the Commissioner is hereby authorized to charge Deposit Account No. 19-0741 for any such fees; and applicant(s) hereby petition for any needed extension of time.

SHEET 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
033241/0290

SERIAL NO: 09/227,213

APPLICANT  
Ruey J. YU *et al.*FILING DATE  
January 8, 1999GROUP  
1616LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: July 7, 2000

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B1	5,112,613	05/12/92	Honda <i>et al.</i>	424	400	
	B2	5,451,405	09/19/95	Zhang <i>et al.</i>	424	401	
	B3	5,468,476	11/21/95	Ahluwalia <i>et al.</i>	424	73	
	B4	5,472,698	12/15/95	Rawlings <i>et al.</i>	424	401	
	B5	5,652,273	07/29/97	Henry <i>et al.</i>	514	666	
	B6	5,733,535	03/31/98	Hollingshead <i>et al.</i>	424	65	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B7	DE 3435842 A1	04/10/86	Federal Republic of Germany			abstract
	B8	0-328 099 A1	08/16/89	Europe			Yes
	B9	0 440 298 A1	08/07/91	Europe			Yes
	B10	2-244-541	04/18/75	France			abstract

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	B11	Database WPI, Section Ch. Week 198133 <i>Derwent Publications Ltd.</i> , London GB, Class D21, AN 1982-00887E, XP 002138486, Dec. 1, 1981. (Abstract.)
	B12	Database WPI, Section Ch. Week 198133 <i>Derwent Publications Ltd.</i> , London, GB; Class D21, AN 1981-59656D, XP002138487, June 30, 1981. (Abstract .).
	B13	Database WPI, Section Ch. Week 198644, <i>Derwent Publications Ltd.</i> , London, GB; Class B04, AN 1986-287932, XP002138488, Sept. 18, 1986. (Abstract.)
	B14	Database WPI, Section Ch. Week 199809, <i>Derwent Publications Ltd.</i> , London, GB, Class B05, AN 1998-095650, XP002138489, Dec. 16, 1997. (Abstract.)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.